

## Grant Call on Falls Prevention

# National Innovation Challenge (NIC) on Active and Confident Ageing

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Organised by:

Ministry of Health Singapore (MOH)

Supported by:

National Research Foundation (NRF)

**Health Promotion Board (HPB)** 

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#### **IMPORTANT NOTICES**

1.1 For the avoidance of doubt, this Public Document for the Grant Call on Falls Prevention shall be read with and shall be subject to the important notices as set out in Annex A.

#### INTRODUCTION

- 2.1 Falls can result in serious injuries such as hip fractures, disabilities or even death. Falls will not only cause a loss of mobility and quality of life for the individual and his family, it can also result in significant healthcare expenditures for both the individual and their families. Therefore, we need to invest in multi-disciplinary research to prevent falls among older adult.
- 2.2 We need to make more efforts in both primary and secondary prevention of falls. We need to look into easy, scalable and cost-effective ways to identify seniors at higher risk of falls in appropriate settings and effective interventions to reduce their risk. We will also need to develop more secondary prevention pathways beyond traditional care settings (e.g. acute hospital, primary care), especially in post-discharge care and at home care settings to (i) reduce the risk or (ii) even prevent recurrent falls among seniors with high fall risks, without disempowering the individual.

#### **OBJECTIVES**

3.1 The Grant Call on Falls Prevention aims to catalyse translational research and innovations that could (i) identify seniors at a higher risk for falls in an accurate and cost effective manner, as well as (ii) reduce their fall risks, including effectively preventing recurrent falls among these seniors, and enable them to age in place safely in their own homes (i.e. not in institutions). This Grant is the sixth Grant call under the National Innovation Challenge on Active and Confident Ageing (NIC) and is organised by the Ministry of Health (MOH) and supported by the National Research Foundation (NRF) and Health Promotion Board (HPB).

#### CHALLENGE STATEMENT

- 4.1 Applicants forming Project Teams can submit more than one Application and the Application should be in response to the challenge statement. However, a Project Team will only be awarded Funding for <u>one</u> Research project.
- 4.2 The challenge statement to Project Teams is:

The challenge is to formulate cost-effective, accurate and timely methods to identify seniors at higher risk of falls <u>and</u> develop a scaleable intervention programme or solution that can be applied to reduce the risk of falls and/or recurrent falls in seniors living in the community by 40% compared to baseline measurements, so that seniors with high fall risk can age well in the community without the need for premature institutionalisation or recurring readmissions. The proposed projects can pertain to either primary or secondary fall prevention. Project teams must demonstrate the methodology to be used in identifying falls, measuring and achieving the desired outcome, including the possible use of proxy indicators (e.g. balance and strength), pre-and post-intervention.

4.3 Project Teams may consider (i) single or multi-domain intervention programmes that can be implemented and scaled-up to prevent falls in high-risk seniors, including preventing recurrent and/or injurious falls, (ii) care pathways that can be implemented post-discharge to prevent recurrent falls or (iii) interventions that tackle risk factor(s) for falls (e.g. polypharmacy, home hazards, poor vision). Project Teams must consider the scalability of the solution and its ability to be sustained in the longer term. Projects solely focussed on telehealth, tele-monitoring or tele-rehabilitation would not be considered.

#### **GUIDELINES AND CONSIDERATIONS**

5.1 Project Teams must be willing to collaborate with the Grantor or Grantor's Affiliates to ensure that proposed solutions are flexible, extensible and based on open data standards used by organisations in Singapore. This is to facilitate future enhancements, information exchange and backend integration of services and functionality, to both existing and future systems.

#### **ELIGIBILITY**

#### General Eligibility

6.1 The Grant Call on Falls Prevention is open to all public, private or non-profit institutions, including healthcare providers, research institutes and institutes of higher learning (IHLs). There are no restrictions on nationality for individuals, but the entity they represent must be registered in Singapore either through the setting up of a local equivalent of the entity in Singapore or through a consortium with Singapore-registered entities in order for the entity represented to be eligible.

#### **Project Team Composition**

6.2 Applicants for the Grant Call on Falls Prevention are required to form a Project Team comprising:

Members from multi-disciplinary (e.g. pairing medical and technology, engineering) backgrounds; and

An Implementation Partner (e.g. service providers, community organisation) to test-bed the proposed solutions.

- 6.3 There is no restriction on the number of Institutions, Investigators and Collaborators in each Project Team.
- 6.4 Applicants are expected to form their own Project Teams to participate in the Grant Call on Falls Prevention. The Grantor reserves the right to disqualify or reject any Project Team at any time in the event of the withdrawal of any Institution, Investigator or Collaborator from the Project Team.

#### **Lead Principal Investigator**

- 6.5 Each Project Team must appoint a Lead Principal Investigator (Lead PI) to oversee and coordinate the implementation of the Research during the funding period of the Research.
- 6.6 The Lead PI will serve as the primary point of contact with the Grantor for the purpose of the NIC. The Lead PI shall make all reasonable efforts to ensure that all Institutions, Investigators and Collaborators in the same Project Team are informed of all matters relating to the Grant.
- 6.7 The Lead PI must reside in Singapore for at least six (6) months in each calendar year over the duration of the funding period of the Research.

#### **Host Institution**

6.8 Project Teams shall identify a Host Institution. If awarded the Grant, the Host Institution shall receive the Funding on behalf of the Project Team. The Host Institution shall in turn make funding arrangements with the other Partner Institutions.

#### SUPPORT AND FUNDING

#### Research Funding

7.1 Institutions may qualify for funding of **up to 80% of total approved qualifying costs** to develop and implement their Research for a period of up to three (3) years, with the possibility of extension for another one (1) year with no additional funding from the Grantor. Collaborators are not entitled to receive (directly or indirectly) any or any part of the Funds, whether in cash or in the form of Assets acquired using the Funding or otherwise. Approved qualifying costs include:

**Developmental costs**: These may include costs related to new equipment, IT software, manpower and training that are assessed prior to the implementation of the Research, and necessary to operationalise and evaluate the Research. Other developmental costs will be assessed on a case-by-case basis.

**Operating costs**: These include incremental manpower cost, engagement of services, travelling and transport and minor assets including medical equipment that are assessed to be necessary to operationalise and evaluate the Research.

- 7.2 Project Teams may refer to the Funding Guide for the NIC available for download from the National Medical Research Council (NMRC) webpage at (<a href="http://www.nmrc.gov.sg/content/nmrc\_internet/home/grant-navigation/competitive-research-grants/national-innovation-challenge-on-active-and-confident-ageing.html">http://www.nmrc.gov.sg/content/nmrc\_internet/home/grant-navigation/competitive-research-grants/national-innovation-challenge-on-active-and-confident-ageing.html</a>) for more information on Funding. The actual funding quantum for selected Research will be determined upon assessment of the impact and scope of the Research.
- 7.3 Support for indirect costs, on top of supportable qualifying direct costs, is capped at 20% of the supportable qualifying direct costs. Only Singapore-registered Institutions that are IHLs or not-for-profit entities, including public healthcare providers, may qualify for support for indirect costs.
- 7.4 Funding will be provided in phases, subject to the successful attainment of Milestones and KPIs tied to each phase, as described in the table below:

	Design Phase	Development Phase	Implementation Phase
	Proof-of-Concept	Proof-of-Value	Test-bedding
Description	To articulate the concept of the programme / service / prototype and the process by which to achieve stated outcomes  To provide studies and analysis that support the concept's viability for further development.	To develop and validate the programme / service / prototype so that it takes on a clearer form to achieve the intended outcomes.	To test and validate the programme / service / prototype in the field (a relevant environment)  May include initial production and rollout.
Requirements	N.A.	Successful attainment of KPIs and Milestones under the Design Phase. Proof-of-concept shows promising results and clear validation plan for proposed programme/service/prototype through a pilot.	Successful attainment of KPIs and Milestones under both the Design and Development phases.  Validated pilot programme/service/ prototype before field test-bedding.
Time Period	Three years, with the possibility of an extension for another one (1)		
	year. Project Teams will have the flexibility to decide the time allocated to each phase. <b>The Implementation Phase should last</b>		
	for at least one year.		

- 7.5 Project Teams are required to track <u>three mandatory KPIs pre and post implementation</u> of its solutions in the Implementation Partner as described in clause 8.8(d) below.
- 7.6 Project Teams are to demonstrate clear outcomes for the Proof of Concept and Proof of Value stages before proceeding to the Test-bedding stage. Project Teams who fail to do so will not receive funding support for the Implementation Phase (or Test-bedding stage) of the Research.
- 7.7 All Research must be conducted in Singapore and Funding shall not flow out of Singapore to support overseas entities, including Collaborators.
- 7.8 The relevant Project Teams will be notified by the Grantor if they are shortlisted for the award of the Grant. Funding shall be granted subject to the mutual agreement

between the relevant Project Teams and the Grantor on the terms and conditions of the Grant as set out in a Letter of Award.

7.9 Funding will be administered and reimbursed through the NIC(PO).

#### **APPLICATION SUBMISSION**

#### Overview of Application Submission

- 8.1 Applications will be submitted through a **two-stage process**, as follows:
  - a) Project Abstract. Project Teams shall first submit an abstract of their Research, using the "Project Abstract Submission Template" that can be downloaded from the **NMRC** webpage at (http://www.nmrc.gov.sg/content/nmrc\_internet/home/grantnavigation/competitive-research-grants/national-innovation-challenge-on-activeand-confident-ageing/FallsPrevention.html). Project Teams shall furnish all necessary information and documents as required in the template. One hard copy of the Project Abstract and any supporting documents should reach the Grantor no later than 31 October 2017 at 5:00pm. All Project Abstracts received will be preliminarily assessed for compliance with the eligibility criteria and scope. Shortlisted eligible Project Teams will be asked to flesh out their Research in greater detail for the second stage.
  - b) Project Proposal. The Grantor will invite the respective Lead PIs of shortlisted Project Teams via email to submit their detailed Project Proposals using the "Project Proposal Submission Template" that will be emailed to them. Project Teams shall furnish all necessary information and documents as required in the template. One hardcopy of the Project Proposal and any supporting documents should reach the Grantor no later than the deadline stated by Grantor in the invitation email. Project Teams shall furnish any other additional information and documents which may be requested by the Grantor, within the deadline set by the Grantor.
- 8.2 All submissions to the Grantor must be clearly marked as "Grant Call on Falls Prevention National Innovation Challenge (NIC) on Active and Confident Ageing", and delivered or mailed to:

National Innovation Challenge (NIC) on Active and Confident Ageing
Programme Office
Ministry of Health
College of Medicine Building
16 College Road Singapore 169854

- 8.3 In addition to submitting hard copies as provided above, Project Teams shall email soft copies of their Project Abstract and Project Proposal to NIC Ageing@moh.gov.sg by the respective deadlines.
- 8.4 The Grantor reserves the right to reject Applications, or parts thereof, which have been submitted late.

#### **Project Abstract**

- 8.5 Project Abstracts should include, but shall not be limited to, the following:
  - Objective(s) of the Research;
  - Literature review and the evidence/basis for the Research;
  - Key components and innovations of the Research;
  - How the Research could address the challenge statement;
  - Summary of the implementation plan, timelines and Milestones of the Research;
     and
  - Summary of the evaluation framework and KPIs to track for the Research.
- 8.6 The length of the Project Abstract should not be longer than <u>5 pages</u> (excluding supporting documents).

#### Project Proposal

- 8.7 Project Proposals should include, but shall not be limited to, the following:
  - Objective(s) of the Research;
  - Summary of supporting evidence from the literature review for the intended objective(s) and/or solution(s) of the Research;
  - Description on the key components and innovations of the Research and how the Research could address the challenge statement, including computations on KPIs;
  - Detailed Research phases, including a detailed implementation plan, timelines and milestones for the Research;
  - Detailed evaluation framework and KPIs to track for the Research;
  - Cost-Effectiveness, scalability and sustainability of the proposed solution;
  - Estimated costs to or fees/charges payable by client, if any;
  - Required funding for the Research;
  - Challenges in Research design;
  - Discussion on key assumptions of the Research; and
  - IP declaration.
- 8.8 Additional guidance on completing Applications are as follows:

- a) Roles and Responsibilities. The roles and responsibilities of every Institution, Investigator and Collaborator must be clearly specified. Specifically, Project Teams are to articulate which Institution, Investigator or Collaborator will be in charge of tracking outcome measures, ensuring safety and well-being of persons involved in any activity conducted in relation to the Research and administering of the Funding if awarded.
- b) Proposed solution. Project Teams are expected to articulate in detail their proposed solution and will be expected to test-bed the proposed solution at the implementation phase if awarded the Grant. The Project Teams shall remain accountable for the safety and well-being of human subjects during the course of the Research and be responsible for the submission of any applications required for ethics approval, if necessary.
- c) <u>Implementation Plan</u>. Project Teams are required to describe all implementation activities, stages, Milestones and targets.
- d) <u>Computation of KPIs</u>. Project Teams are required to state the baseline and target KPIs by phases as elaborated in clause 7.4 above based on the following indicators.

Key Area	KPI		
Project teams sho	ould emphasise on the scalability and proposed plans to ensure		
widespread adopt	ion of the solution. Project teams will also be required to track the		
following mandato	ory KPIs and set targets, before and after the implementation of the		
proposed solution	, to be achieved by the end of the project		
<u>KPI 1</u> :	Project teams should clearly state how the defined population		
Effectiveness	would be identified and chosen, as well as how falls are defined,		
	identified and tracked. Project teams should also demonstrate:		
	A reduction in the risk of falls, compared to an appropriate control		
	group; OR		
	the prevention of recurrent falls in seniors over a specific period of		
	time by tracking appropriate measures(s) pre-and post-		
	intervention.		
	Descible KDIe may include:		
	Possible KPIs may include:		
	Proportion of seniors identified to be at a high risk for falls with		
	improvement in risk profile, post-intervention		
	Improvements in proxy measures (e.g. balance and strength)		
	associated with the risk of falling, as compared to an appropriate		
	control		
	Reductions in emergency department (ED) visits due to falls, as		
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	compared to baseline		

	Reductions in acute hospital utilisation due to falls among those aged 65 years and above, as compared to baseline or an appropriate control group Reductions in falls resulting in injuries such as hip fractures, traumatic brain injuries, as compared to baseline Reductions in risk factors associated with falls, as compared to baseline or an appropriate control group
KPI 2: Cost- Effectiveness and Manpower Savings	or solution is cost-effective and demonstrate the potential
KPI 3: Quality	Project teams are to propose <u>at least one clinical/client KPIs</u> to track. Possible clinical / client KPIs can include:  Acceptability by target population Adherence to programme Improvement in functional, physiological, emotional, behavioural and/or cognitive wellbeing as measured by appropriate tools

- a) <u>Budget</u>. Project Teams are required to articulate the **total required resources** and **budget** to implement their Research. Qualifying costs include developmental costs as described in clause 7.1(a) and operating costs as described in clause 7.1(b). Project Teams must ensure accuracy and prudence in budgeting. The Grantor shall not be obliged to consider any requests for additional funding in the event that the Project Teams failed to exercise due diligence in budgeting.
- b) <u>Declaration of IP</u>. Project Teams are required to disclose and describe any Background IP (whether owned by an Institution, Investigator, Collaborator or a third party) mentioned in the Application. The information to be disclosed shall include the type of IP (e.g. patent, copyright, confidential information), the IP owner, whether the IP has been registered or is pending registration, and whether the IP is commercially available off-the-shelf or whether the IP must be customised for use for the Research.

#### Other Things to Note

- 8.9 All information submitted pursuant to the Grant Call on Falls Prevention including supporting technical data and applicable documentation must be in the English language.
- 8.10 The following Applications will be rejected:
  - a) Incomplete Applications, e.g. missing literature review, missing documents, missing signatures, sections left blank and missing CVs, etc.; and
  - b) Inappropriate submission template used.
- 8.11 Project Teams should ensure that all information contained in the Applications and any other information submitted to the Grantor relating to the Grant Call on Falls Prevention is complete, accurate and not misleading. The Grantor reserves the right to reject any requests for additional funding or any changes in the Research or requested funding after the Project Proposal has been submitted to the Grantor.

#### **EVALUATION AND AWARD**

#### **Evaluation**

- 9.1 Applications will be evaluated by an evaluation panel comprising evaluators from multidisciplinary backgrounds, including representatives from MOH and HPB.
- 9.2 Shortlisted Project Teams may be required to present their Research (at their own cost and expense) and answer questions from the evaluation panel. Details on the requirements, date and time of the presentation (if any) will be released to the shortlisted Project Teams at a later date.
- 9.3 The criteria listed below shall be used for the evaluation of the Applications.

Component	Evaluation Criteria		
	Proposal Submission		
Grant Requirements	Locally-Based Project & Lead Principal		
	Investigator		
Requirements	Team Composition		
	Address Challenge Statement		
General	Background of Lead Principal Investigator		
General	Track record		
	Impact		
Impact and	Cost-effectiveness of the proposed solution		
Outcomes	Proposed outcomes / KPIs		
	Scalability and sustainability of proposed solution		

Component	Evaluation Criteria			
Innovativeness	•	<ul> <li>Innovativeness of proposed solution</li> </ul>		
	•	Research feasibility and adoption in the local		
Implementation		context		
	•	Reasonableness of funding request		

9.4 The evaluation panel reserves the right to reject any or all Applications submitted for the Grant Call on Falls Prevention, without being obliged to give any reason thereof.

#### **Moderation**

9.5 Where an Application is selected by the evaluation panel for consideration for award, the Project Team, through the Lead PI and Host Institution, will be notified. The terms of the Research, Milestones, KPIs and Funding quantum may be adjusted by the Grantor in agreement with the Project Team.

#### Award

9.6 The final decision to award the Grant will be made by the Grantor on the evaluation panel's recommendation. The Grantor and the evaluation panel shall not be obliged to enter into any correspondence with any Institution, Investigator or Collaborator regarding reasons for non-acceptance of an Application.

#### Progress and Final Reports

- 9.7 Awarded Project Teams will be required to submit to the Grantor annual progress reports as stated in the Letter of Award in relation to all Research-specific KPIs, the progress of the Research implementation and any challenges that may impede progress.
- 9.8 Awarded Project Teams will also be required to submit a final report at the end of the funding period of the Research detailing the learnings made and whether the Research has met the desired outcome of the challenge statement.

#### **IMPORTANT DATES TO NOTE**

10.1 The timeline for key activities under the Grant Call on Falls Prevention is set out below.

Activity	Date
Call for Applications	18 Sep 2017 (Mon)
Public Briefing	29 Sep 2017 (Fri)
Deadline for Project Abstract Submission	31 Oct 2017 (Tues)
Deadline for Submission of Project Proposal	5 Jan 2018 (Fri), or as otherwise specified by the Grantor in the invitation email
Evaluation and Moderation Process	Jan 2018 – Feb 2018
Announcement of Results	By end FY2017

#### **CONTACT DETAILS**

11.1 Any enquiries regarding the NIC and/or the Grant Call on Falls Prevention should be emailed to NIC\_Ageing@MOH.gov.sg.